### Conduct of Inquiry: POS 6736 Section 06GG

Spring 2015
Monday Periods 5-7 (11:45 am – 2:45 pm)
Dr. Benjamin Smith
002 Anderson
352-273-2345
bbsmith@ufl.edu
benjaminbsmith.net

Office Hours: M 9:30-10:30 am, F 11:30 am-1:30 pm

Your job as a Ph.D. student in political science is to write a good dissertation. What is a good Ph.D. dissertation, and how do we know one when we see it? First, it must accomplish what Theda Skocpol describes to her own graduate students as "product differentiation." That is, your dissertation project must establish you as an innovative scholar who has given the world a new piece of knowledge. Second, it must convince us that your flashy new product in fact provides what it promises; it does so by making clear the means by which your data were collected, analyzed, and interpreted and to some degree by sticking to accepted strategies of social scientific inquiry. More on what I mean by that later.

In short, you need an interesting and researchable question to answer, and you need the tools to answer the question feasibly and convincingly. In this course you will learn something about developing doable research questions and figuring out how best to answer them. I want to put my own methodological biases on the table by stating that to my mind research problems or questions come first. The appropriate methods to answer those questions come second. And all research methods contain their own specific logics, strengths, and weaknesses; whether scholars employ one method or multiple ones, they need to understand all three aspects and choose thoughtfully rather than to follow fads or trends. Following this course, you will begin to fill your toolbox with research skills in specialized methods classes.

You will also learn something about numerous research methods, from experimental social science to aggregate statistical data analysis to case studies and structured comparisons to reflexive critical inquiry and textual interpretation. My primary goal (and job) here is not to stuff your heads with knowledge, but to teach you a) how to recognize various strategies that scholars use to "create" or organize knowledge, b) to critique different research approaches thoughtfully and on their own terms, and c) to lay the groundwork for becoming a knowledge producer in your own right. This last one is our collective job as faculty; to help you acquire the tools to craft your own scholarly work, find meaningful employment, and go away with your Ph.D in hand.

We will spend a good portion of the semester addressing "big" questions from the three major empirical subfields of political science: American politics, comparative politics, and international relations. We will look, for a start, at how Americanists study political representation, how comparativists investigate the causes of ethnic violence, and how IR scholars explore the democratic peace thesis (i.e. that democracies don't fight one another).

Finally, you'll learn something about some of the nuts and bolts involved in doing social science research, such as evaluating the external vs. internal validity of research, appropriate levels of analysis for inquiry, inference vs. explanation in social inquiry, and so on. The bulk of your grade in this course (50%) will be based on your research design, which you will develop in consultation with me according to your own research interests. Statistically speaking, most of you will not write what becomes your dissertation prospectus; that is fine. What I expect from the exercise is that you will find a viable research question that

hopefully hasn't been definitively answered already (although there will probably be multiple competing answers), think long and hard about what kind of logic of inquiry your question is likely to demand, and construct a plan of action for building theory, collecting data and analyzing it. This assignment will take shape in parts. On **February 2**, you'll submit a statement of intent, outlining the topic and question based on prior consultation with me. On **March 23**, you will submit a draft of your literature review. On **April 6**, you will submit a draft of the research design itself for feedback from me and your fellow students. The "final" version is due on **April 25** at noon.

The remaining 50% of the grade will come from participation in seminar discussions (20%) and from three short response/thought papers of about 1000 words (30%, 10% each). The response papers are based on readings for a particular week and are due at the start of class the day we discuss those readings (in other words you cannot submit a response paper on a given week's readings after that class meeting. **I want to be very clear that participation grades are not a giveaway.** Completion of all readings before we meet to discuss them, evidence of having read and thought about them, a willingness to engage the materials and the ideas of your colleagues, are all mandatory. Please, take this seriously. You could write a brilliant prospectus, turn in splendid response papers, and fail the course (i.e. earn a B-, a failing graduate grade) by not actively participating in discussion. Plagiarism on any assignment will result in a failing grade for the course. If you are unclear what constitutes plagiarism, consult the UF Honor Code and this website: http://plagiarism.org/. All assignments other than weekly questions will be submitted in hard copy to me and electronically via turnitin.com. Late assignments accrue a 20% penalty the first day and 10% each additional day except in documented emergencies.

Students requesting classroom accommodation must first register with the Dean of Students Office, which will provide documentation to the student who must then provide this documentation to the professor when requesting accommodation. For more information regarding University policies on this issue, please visit the <u>Disability Resource Center's website</u>.

While I have endeavored to keep the readings within the bounds of an acceptably heavy graduate workload, this course is going to demand much of you, so be prepared. You should come to each class meeting with all readings and assignments completed beforehand, with your own written or typed summary of the readings, three questions to bring to the discussion (to be emailed to me by 8am each Monday morning), and a general willingness to engage the materials. Students who come unprepared are likely to be asked to leave; this is a seminar, therefore a team effort, and there is no free riding.

The following books are required and are available at local bookstores and online:

Henry Brady and David Collier, eds. Rethinking Social Inquiry: Diverse Tools, Shared Standards, 2<sup>nd</sup> edition (RSI) Gary King, Robert Keohane, Sidney Verba, Designing Social Inquiry (DSI) Steven Van Evera, Guide to Methods for Students of Political Science John Gerring, Social Science Methodology: A Unified Framework, 2<sup>nd</sup> edition (SSM)

As you will discover in reading these books, DSI, RSI and SSM present quite different ways of thinking about social science research. This kind of spirited disagreement is simply what characterizes political science today, even within the broad approach to explanation that we call positivism.

Note: Van Evera's book, in my opinion, is at its most valuable as a guide to writing a proposal. That in mind, I've only asked you to read 2 chapters from it for discussion, but I hope that in developing your research designs you'll find it a useful reference.

There are also many additional readings, drawn from academic journals and edited volumes. Since this is your first graduate seminar (at UF, at least) in research design, one important skill to learn is tracking down the materials that define the parameters of different research programs. To that end, you will need to access

many of the readings through the UF libraries online system. In addition to saving you money, you'll acquire a good sense of what is available through our libraries and how to get it.

### Schedule of Readings.

Readings noted as "online" can be found on the UF libraries' online access system.

### January 12. What Does it Mean to Study Politics Scientifically?

Why do we become scientists, or social scientists, and what does being a social scientist entail? How much does it seem like what we thought we were getting ourselves into when applying to grad school? An introduction to the course, the PhD program, and all of the rest of us.

- Alan, Lightman, "A Sense of the Mysterious," *Daedalus* Fall 2003, 5-21. Online.
- Max Weber, "Science as a Vocation," online at: http://tems.umn.edu/pdf/WeberScienceVocation.pdf
- KKV, Chapter 1.
- Brady and Collier, Chapter 2.
- Kimmo Eriksson, "The Nonsense Math Effect," *Judgment and Decision Making* 7, 6 (November 2012): 746-49.
- Alan Sokal, "A Physicist Experiments With Cultural Studies."

### January 19. No class—MLK day

### January 26. A Primer on How to Do It, with Examples.

Today we look at some arguments about what social science actually is and take a brief look at a big question in international and comparative political economy: do oil-rich countries suffer political instability that other countries do not? What led us to think they do/do not?

- Van Evera, Chapter 1.
- John Gerring, Social Science Methodology: A Unified Framework. Preface and Chapters 1 and 2.
- Terry Karl, "Reflections on the Paradox of Plenty," *Journal of International Affairs*, 53, 1, 31-48 Fall 1999. Online.
- Terry Karl, pre-publication communication with me (to be sent by email)
- Benjamin Smith, "Oil Wealth and Regime Survival in the Developing World, 1960-1999," *American Journal of Political Science* (2004): 232-46. Available on the research page of my website.

### February 2

#### NOTE: Your statements of research design topic and question are due today.

Today we'll discuss conceptions of what it means to do social scientific research, beginning with what has become, rightly or wrongly, the dominant statement on the subject: King, Keohane, and Verba, *Designing Social Inquiry*. We'll also take a look at a provocative statement from the field of international relations, and some efforts to subject it to serious testing.

- Gerring, chapters 3-4.
- Samuel Huntington, "Clash of Civilizations," Foreign Affairs Summer 1993. Online.

- Mungiu-Pippidi and Mindruta, "Was Huntington Right? Testing Cultural Legacies and the Civilization Border," *International Politics* June 2002, 193-213. Online.
- Russett et al. "Clash of Civilizations, or Realism and Liberalism Déjà vu? Some Evidence," *Journal of Peace Research* September 2000, 583-608. Online.

### February 9. Concepts and Measures: the Building Blocks of Research.

- Gerring, Chapters 5-7.
- KKV, Section 5.1
- Brady and Collier, Chapter 3.
- Michael McDonald and Samuel Popkin, "The Myth of the Vanishing Voter," American Political Science Review 95, 4, December 2001: 963-74. Online at: http://www.jstor.org/stable/3117725

### February 16: Building Theories: Inferring vs. Explaining and Other Problems.

- Gerring, Chapters 8-10.
- Brady and Collier, Chapter 5.
- KKV, Chapter 3.

# February 23. Some of the "Greatest Hits" of Research Pitfalls: Selection Bias, Validity Issues, and Choosing Levels of Analysis.

- Theda Skocpol, *States and Social Revolutions*, Chapter 1.
- Barbara Geddes, "How the Cases You Choose Affect the Answers You Get," in Geddes, *Paradigms and Sand Castles* (Michigan 2003), 89-129.
- Andrew Bennett and Colin Elman, "Qualitative Research: Recent Developments in Case Study Methods," Annual Reviews of Political Science"
- KKV, Section 1.2.3
- James Caporaso, "Research Design, Falsification, and the Qualitative-Quantitative Divide," *American Political Science Review* 89, 2 (June 1995), 457-60. Online
- Stephen Majeski and Shane Fricks, "Conflict and Cooperation in International Relations," *The Journal of Conflict Resolution*, Vol. 39, No. 4. (Dec., 1995), pp. 622-645. Online.

# March 9. Research Designs, Part I. Statistical Studies. The Why and How. Meet in Computer Lab for the 2<sup>nd</sup> half of class.

 You have already taken Data Analysis, so you know the basics of why we use statistics and analysis of statistical data. Today we'll mostly explore publicly available data for some of the central concepts in American politics, comparative politics and international relations.

### March 16. Research Designs, Part II. Case Study and "Small-N" Studies. The Why and How.

- Van Evera, Chapter 2.
- KKV, Chapter 6.
- Brady and Collier, Chapters 10 and 11.
- Richard Doner, Bryan Ritchie and Dan Slater, "Systematic Vulnerability and the Origins of Developmental States: Northeast and Southeast Asia in Comparative Perspective," *International Organization* 59, 2 (Spring 2005), 327-61.

### March 23. Research Designs, Part III. Experimental Methods.

### Please submit the draft literature review at the start of class.

- David Samuels and Cesar Zucco, "The Power of Partisanship in Brazil," American Journal of Political Science 58, 1: 212-225.
- Thad Dunning, "Improving Causal Inference: Strengths and Limitations of Natural Experiments," *Political Research Quarterly* 61, 2 (June 2008): 282-293.
- Macartan Humphreys, "Some Reflections on the Ethics of Field Experiments," manuscript to be distributed by email.
- Scott Desposato, "Ethical Challenges and Some Solutions for Field Experiments," available here: http://www.desposato.org/ethicsfieldexperiments.pdf

### March 30. Big Questions in American Politics: What is Representation, and How Does it Happen?

- Richard Fenno, "US House Members in Their Constituencies: An Exploration," *American Political Science Review* 71, 3 (Sep. 1977): 883-917. Online.
- Bartels, Larry 1991. Constituency Opinion and Congressional Policy Making: The Reagan Defense Buildup. *American Political Science Review* 85, 2 (June 1991):457-474. Online
- John Kingdon, Congressmen's Voting Decisions, Chapter 1. Reader

## April 6. Big Questions in Comparative Politics: Why do some locales suffer endemic ethnic violence while others are peaceful?

### Complete draft of research design due.

- Tanja Ellingsen, "Colorful Community or Ethnic Witches' Brew?" Journal of Conflict Resolution 44, 2 (2000): 228-49. Online.
- Rizal Panggabean and Benjamin Smith, "Explaining anti-Chinese Violence in Late-20th Century Indonesia," available on my research page.
- James Habyarimana et al., "Why Does Ethnic Diversity Undermine Public Goods Provision?" American Political Science Review 101, 4, November 2007: 709-25.

### April 13. Big Questions in International Relations: Is There a Democratic Peace?

- John Owen, "How Liberalism Produces Democratic Peace," *International Security* 19, 2 (Autumn 1994), 87-125. Online.
- Zeev Maoz and Bruce Russett, "Normative and Structural Causes of Democratic Peace, 1946-1986," American Political Science Review 87, 3 (September 1993), 624-38. Online.
- Henry Farber and Joanne Gowa, "Politics and Peace," *International Security* 20, 2 (Autumn 1995), 123-46. Online.
- Ido Oren, "The Subjectivity of the Democratic Peace: Changing U.S. Perceptions of Imperial Germany," *International Security* 20, 2 (Autumn 1995), 147-84. Online.

### April 20. Course conclusion.

April 25: Final draft of Research Design due by noon, both to turnitin.com and by hard copy to me at Anderson 234.